Please type a plus sign [+] inside this box

04-28-61

+

		Attorney Do	ocket No.	IN-5384			
D PATENT	PATENT APPLICATION First Na		d Inventor	William C. NORRI			
<del></del>		Filing Date		Thursday, Septem			
oby for new nonprovisional application under 37 C.F.R. § 1.53(b))		Title		COATING SYSTEM SUBSTRATE WITH COMPOSITION INC PROVIDING PIGME	ATING		
	Express Ma	il Certificate	EK894923 445US	5	<u>ව</u>		
APPLICAT	ADDDESS	TO: Assistant	Commissioner for Patents	^ <b>(b</b>			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents				t Application, Washington,	DC 20231	s	
1. X Fee Transm	nittal Form (e.g. PTO/SB/17)		7. CI	D-ROM or CD-R in du	plicate, large table or	4 U.	
(Submit an original and a duplicate for fee processing)  Computer Program (Appendix)					•	c71 09	
See 37 CFR 1.2				otide and/or Amino Ad ole, all necessary)	cid Sequence Submissi	ou	
-(preferred - Descriptiv	3.						
- Statement	- Cross References to Related Application - Statement Regarding Fed sponsored R & D i CD-ROM or CD-R (2 copies); or - Reference to Microfiche Appendix						
- Reference to Microfiche Appendix - Background of the invention - Background of the invention			ii paper				
- Backgrou	nd of the invention mary of the invention		c. St	atements verifying id	lentify of above copies		
- Brief Desc	cription of the Drawings (if filed) Description		ACCOMPAN'	YING APPLICATION	PARTS		
- Claim(s)	of the Disclosure		9 As	ssignment Papers (co	over sheet & document(	s)	
10-10 10 10 10 10 10 10 10 10 10 10 10 10 1				CFR§3.73(b) Statem when there is an assigned			
			11 Er	nglish Translation Do	cument (if applicable)		
is .			·	formation Disclosure atement (IDS)/ PTO-		DS	
77 0 LgP 78 2			13 Pr	eliminary Amendmer	nt		
~~ <u>~</u>	(35 U.S.C.113) [Total Sheets	1		eturn Receipt Postca nould be specifically Item			
5. Oath or Declaration [Total Sheets ] 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)							
b. Copy from a prior application (37 CFR § 1.63(d))  (for continuation/divisional with Box 17 completed)							
i							
Signed statement attached deleting  6. Application Data Sheet. See 37 CFR 1.76							
17. If a CONTINUING AP	PLICATION, check appropriate box, a	and supply the r	equisite informati	ion below and in a prelimi	inary amendment;		
Continuati Divisional Continuation-in-part (CIP) of prior application No. 0 filed on,							
Prior application information: Examiner Group/Art Unit:							
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has							
been inadvertently omitted from the submitted application parts.  18. CORRESPONDENCE ADDRESS							
x Customer Number or Bar Code Label or Correspondence address below							
		2692					
Country	USA Telepho	ENT-TRADEM	(248) 948-20	<u>]</u>  21	(2/8) 0/8 2003		
Name (print Type)	Anne Gerry Sabourin			(Attorney Agent)	(248) 948-2093 33,772		
amo (print Type)	O 1/1/.	X		(Attorney Agent)	33,112		
Signature	(Inne )	Barry	uru	Date	September 27, 2001		

₹							
	Attorney Docket No.		IN-5284				
FEE TRANSMIT	First Named Inventor		Will C. NORRIS et al.				
Patent fees are subject to annual revision on October 1.	Filing Date		Thursday, September 27, 2001				
These are the fees effective October 1, 1997	Title		COATING SYSTEM AND METHOD FOR				
,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,,			COATING A SUBSTRATE WITH A POWDER-				
			BASED COATING COMPOSITION INCLUDING A				
	Express Mail Cer	Anil Cortificate		COLOR EFFECT-PROVIDING PIGMENT  EK894923 445 US			
TOTAL AMOUNT OF DAMARNIT 01 100 00	Express Mail Cer	tilicate	EN894	1923 445 U.S			
TOTAL AMOUNT OF PAYMENT   \$1,160.00		T ====					
METHOD OF PAYMENT			FEE CALCULATION				
		· · · · · · · · · · · · · · · · · · ·	3. ADDITION FEES				
1. X The Commissioner is hereby authorized to change in	dicated fees and	Large	Entity	Fee Description	Fee		
credit any over payments to:		Fee	Fee		Paid		
Deposit Account Number 23-3425		Code	(\$)	Ourshause Late Silver for an oath			
Deposit Account Number 23-3425		105 127	130	Surcharge –late filing fee or oath			
Deposit Account Name BASF Corporation		139	50 130	provisional ailing or cover sheet Non-English specification			
X Change Any Additional Fee X Charge the Issue Fee Set in 37 CFR §			2,520	For filing request for examination			
Required Under 37 CFR §§ 1.18 at the Mailing of the Notice of			920*	Requesting publication of			
1.16 and 1.17 Allowance			320	SIR prior to Examiner action			
2. Payment Enclosed:			1,840	Requesting publication of	*****		
Check Money Order Other				SIR after Examiner action			
FEE CALCULATION			110	Extension for reply within			
1. BASIC FILING FEE				first month			
Large Fee Code Entity Fee (\$)		116	400	Extension for reply within second month			
101 710 Utility filing fee 106 330 Design filing fee 107 540 Plant filing fee	\$710.00	117	890.	Extension for reply within			
105 330 Design filing fee				third month			
107 Plant filing fee		118	1,510	Extension for reply within			
108 790 Reissue filing fee				fourth month			
1174 150 Provisional filing fee		128	2,060	Extension for reply within			
SUBTOTAL (2)	\$710.00			fifth month			
2 EXTRA CLAIM FEES		119	310	Notice of Appeal			
Extra Fee from	Fee Paid	120	310	Filing a brief in support of an			
Claims below Total Claims 45 -20** = 25 x 18	= \$450.00	1,,,	270	appeal			
Total Claims 45 -20** = 25 x 18	= \$450.00	121	270	Request for oral hearing			
	<b>7</b> - F	138	1,510	Petition to institute a public			
Ciams 02 - 3** = 0 x 80 Multiple	<b>┛╴</b> ┗━━	140	110	use proceeding Petition to revive-unavoidable			
Dependent 270	<b>7</b>	140	1,320	Petition to revive-unavoidable Petition to revive-unintentional			
**Dr number previously paid, if greater; For Reissues, see below			1,320	Utility issue fee (or reissue)			
Large Entity			450	Design issue fee			
Fee Fee		143 144	670	Plant issue fee			

Assignment per property (time number of properties)

146 790 Filing a submission after final rejection

149 790 For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify)

Reduced By Basic Fee Paid Filing

122

123

126

581

130

50

240

40

x Customer Number or Bar Code Label

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claims, if not paid

\*\*Reissue independent claims over original patent

\*\*Reissue claims in excess of 20 and over original patent

Code

103

102

104

109

110

(\$)

18

80

270

82

22



r Correspondence address below

Petition to the Commissioner

Petition related to provisional

Submission of information

Disclosure Statement

Recording each patent

application

Country	USA	Telephone	(248) 948-202	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin		Registration No. (Attorne	y Agent)	33,772
Signature	Conne	1 H. Dylson	un	Date	September 27, 2001



(Practitioner's Docket No. IN-5384)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William C. NORRIS Josef RADEMACHER

US Serial No. Herewith

Filed: September 27, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: COATING SYSTEM AND METHOD FOR COATING A SUBSTRATE WITH A POWDER-BASED COATING COMPOSITION INCLUDING A COLOR EFFECT-PROVIDING PIGMENT

Assistant Commissioner of Patents Washington, D.C. 20231

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number

EK894923445US

**Date of Deposit** 

**September 27, 2001** 

I hereby certify that the following attached paper or fee

X Information Disclosure Statement

x From PTO-1449

x | Cited References

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies, identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William C. NORRIS Josef RADEMACHER

US Serial No. Herewith

Filed: September 27, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: COATING SYSTEM AND METHOD FOR COATING A SUBSTRATE WITH A POWDER-BASED COATING COMPOSITION INCLUDING A COLOR EFFECT-PROVIDING PIGMENT

Assistant Commissioner of Patents Washington, D.C. 20231

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number <u>EK894923 445U</u>S Date of Deposit

e of Deposit <u>September 27, 2001</u>

I hereby certify that the following attached paper or fee

x Application

Specification on the abstract on

19 pages; 01 page(s); claims on drawings on

08 **p**:

pages; page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. identification should include the serial number and filling date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.